



Top Financial Advisors in Irvine, CA



by [Nina Semczuk](#) | Updated May 26, 2020

Finding a Top Financial Advisor Firm in Irvine, California When you're looking for a financial advisor, it can be hard to determine which one is right for you. There's a lot of information to sort through, and it's not always easy to understand what sets one firm apart from another. That's why we've done the work for you, researching the firms in Irvine, sorting out the top 10 firms, and presenting information on each firm's services and investment approaches.

All the advisors on the list are registered with the U.S. Securities and Exchange Commission (SEC). Registered firms have to adhere to certain government rules and regulations that protect consumers and are required to file paperwork annually. We eliminated any firm with disclosures or disciplinary issues. We also removed from consideration any financial advisors that don't manage individual accounts. The final list is ordered from the most assets under management to the least. All information is accurate as of the writing of this article.



Apriem Advisors' advisory team includes team members who hold the following credentials: six Accredited Investment Fiduciary (AIF®), three Certified Financial Planner (CFP®), three Chartered Financial Analyst (CFA), one Chartered Retirement Planning Counselor (CRPC®) and one Chartered Financial Consultant (ChFC®). You can find Apriem offices in Irvine, San Diego and Los Angeles. To become a client of this firm, you'll need at least \$500,000 in investable assets. The firm reserves the right to waive or adjust this minimum requirement. Apriem Advisors is a fee-based firm, as it is also a licensed insurance agency and earns commissions from the sale of insurance products. This represents a potential conflict of interest, though it is still legally bound by fiduciary duty.

Assets Under Management

\$760,199,692

Number of Advisors

8

Time in Business

Founded in 1998

Fee Structure

Fee-based

Office Location

19200 Von Karman Avenue

Suite 1050

Irvine, CA 92612

Website

<http://www.apriem.com/>

Apriem Advisors Background

Harmon Kong and Mark Iwamoto founded Apriem Advisors in 1998 after they each spent a decade in wealth management at corporate financial firms. The firm offers investment management, wealth management, family wealth management and an industrial retiree practice. The latter is a retirement account service that Apriem offers to large industrial companies such as ExxonMobile, Boeing and Torrance Refining Company.

Apriem Advisors Women's Initiative

Apriem Advisors has a program called Women of Wisdom (WOW) that focuses on the financial needs of women. This unique initiative includes events and educational programs such as business exit planning education, dealing with divorce and how to bequeath money to philanthropic causes. Rhonda Ducote, the firm's president, founded the program in 2014. The WOW program hosts events beyond the typical financial education realm. For example, in February 2018 it hosted a 21-day detox event that was at full capacity.